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U.S. PTO

12-10-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

Thereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 30, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL898648553US, addressed to the Commissioner for Patents, Washington, DC 20231.

Carolyn Naegle

Carolyn Naegle

Attorney Docket No.: NOVLP025/NVLS-000433

First Named Inventor: Evan E. Patton

jc955 U.S. PTO  
10/010954  
11/30/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Evan E. Patton, Jonathan D. Reid, Jeffrey A. Hawkins, and Dinesh S. Kalakkad**

For: **IMPROVED CLAMSHELL APPRATUS WITH DYNAMIC UNIFORMITY CONTROL**

Assigned to: Novellus Systems, Inc.

Application Elements:

- 27** Pages of Specification, Claims and Abstract  
 **10** Sheets of formal Drawings  
 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- Do not publish this application. Nonpublication Request is attached.  
 Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
 37 CFR 3.73(b) Statement by Assignee  
 Information Disclosure Statement with Form PTO-1449  
     Copies of IDS Citations  
 Preliminary Amendment  
 Return Receipt Postcard  
 Other:

PLEASE DEFER FILING FEES AT THIS TIME.

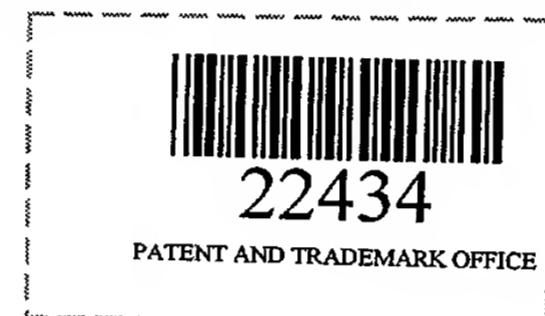
The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. NOVLP025).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. NOVLP025).

Please send correspondence to the following address:

**Customer Number 022434**



Date: November 30, 2001

A handwritten signature of "Brian D. Griedel" in black ink.

Brian D. Griedel  
Registration No. 48,425

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Evan E. Patton, Jonathan D. Attorney Docket No.: NOVLP025/NVLS-  
Reid, Jeffrey A. Hawkins, and Dinesh S. Kalakkad 000433

Application No.: Not yet assigned

Examiner: Not yet assigned

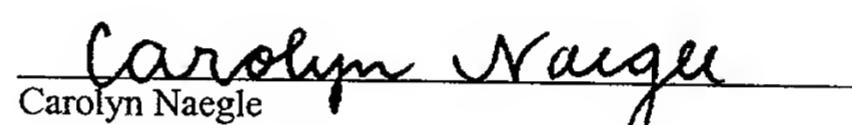
Filed: November 30, 2001

Group: Not yet assigned

Title: IMPROVED CLAMSHELL APPRATUS  
WITH DYNAMIC UNIFORMITY CONTROL

**CERTIFICATE OF EXPRESS MAILING**

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Carolyn Naegle

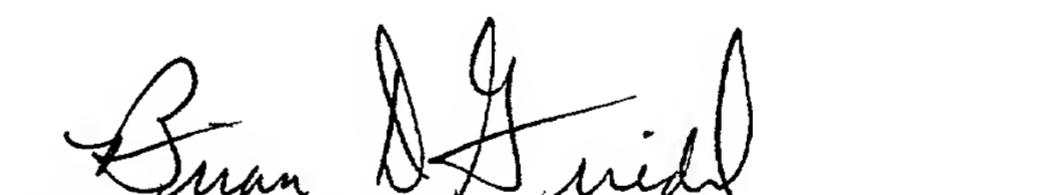
**NONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: 30 - Nov - 01

  
\_\_\_\_\_  
Brian D. Griedel  
Registration No. 48,425

**NOTE:**

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).